

# Ethical Rules and General Advisor Terms

## I. Ethical Rules

Welcome message and introduction to the advisor role, emphasizing customer-friendliness, legality, and professionalism.

Definition - Referrer (Advisor):

Advisors may only establish contact between AlpsCon and potential clients. They must not provide any consulting, sales, or mediation services.

Key Ethical Guidelines:

Toward Potential Customers:

- Respect their desire to decline or end conversations.
- Clearly state that you only establish contact with AlpsCon, not provide consulting.
- Avoid misleading promises or undue incentives.
- Do not misrepresent earning potential or suggest government approval of AlpsCon.

Toward Other Advisors:

- Maintain fairness and truthfulness.
- Do not lure others to switch sponsors.
- Refrain from unapproved claims or comparisons.

Toward Other Companies:

- Behave honestly with competitors.
- Avoid aggressive poaching or disparaging statements.

## II. General Advisor Terms and Conditions

§1 Scope:

Applies to all advisor contracts between AlpsCon and the independent advisor.

§2 Purpose of Contract:

Advisors help connect customers to AlpsCon and may receive commissions for successful referrals. No purchase obligations or investments are required for participation.

§3 Contract Requirements and KYC:

- Only adults (18+) who are legally eligible can register.
- KYC documents (ID, business registration, etc.) may be required.
- Only one account per person/entity is allowed.

§4 Advisor's Independent Status:

- Advisors are independent entrepreneurs.
- Responsible for taxes, social security, business operations.

Note for Swiss Advisors:

Even if self-employed, Swiss law may consider advisors as employees for social security purposes in some cases.

§5 Voluntary Right of Withdrawal:

Although not legally required, AlpsCon offers a voluntary 14-day withdrawal period.

# Ethical Rules and General Advisor Terms

## §6 Back Office Usage:

Advisors receive a non-transferable, free license to use AlpsCon's back-office tools.

## §7 Advisor Responsibilities:

- Maintain security and legality in marketing practices.
- Use only approved advertising materials.
- Do not impersonate AlpsCon or accept customer payments directly.
- Comply with AML, tax, and data protection regulations.

## §8 No Non-Compete Clause, but Poaching Prohibited:

You may work with competitors but may not recruit AlpsCon advisors for other ventures.

## §9 Confidentiality:

Maintain strict confidentiality about AlpsCon's internal structure and client data.

## §10 Advisor Protection & Anti-Manipulation:

- Advisors are assigned based on who referred them first.
- Crossline sponsoring and bonus manipulation are forbidden.

## §11 Penalties for Breaches:

Violations may lead to warnings, contractual penalties, or termination. Advisors must compensate AlpsCon for damages or legal fees resulting from violations.

## §12 Price Adjustments:

AlpsCon may adjust fees or pricing with a 30-day notice.

## §13 Advertising Tools & Data Use:

Promotional materials can be withdrawn at any time. Data processing must comply with AlpsCon's privacy policy.

## §14 Commission Conditions:

- Commissions are paid in cryptocurrency.
- Only for valid, successful referrals.
- Minimum payout amount is EUR25 (or equivalent crypto).
- No compensation beyond defined commissions.

## §15 Temporary Suspension of Advisors:

Can occur for violations or failure to provide KYC data.

## §16 Termination:

- Contract is indefinite but can be terminated with 2-week notice.
- Immediate termination possible for serious breaches.

## §17 Force Majeure / Liability Limitation:

AlpsCon is not liable for events like pandemics or economic disruptions unless due to gross negligence.

## §18 Business Transfer / Death of Advisor:

- AlpsCon may transfer contracts to successors.
- Advisor contracts can be inherited under legal conditions.

## §19 Company Dissolution:

If a corporate advisor dissolves, one partner must continue the contract. Disputes may lead to termination.

## **Ethical Rules and General Advisor Terms**

### **§20 Incorporation of Plan & Policies:**

The Compensation Plan, Terms of Use, and Privacy Policy are integral parts of the contract.

### **§21 Media Use Consent:**

Advisors consent to AlpsCon using their photos, voice, or quotes unless revoked.

### **§22 Data Protection:**

Advisors must handle customer data responsibly.

### **§23 Limitation Period:**

Claims expire after 12 months unless due to fraud or injury.

### **§24 Governing Law & Jurisdiction:**

Swiss law applies. Legal venue is AlpsCon's location.

### **§25 Final Provisions:**

Amendments must be in writing. In case of partial invalidity, remaining terms remain effective.